



593/002 CIP 3 Cont.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Robert A. VanTassel et al.  
Application No. : 10/644,388  
Confirmation No. : Not yet assigned  
Filed : August 19, 2003  
For : FILTER APPARATUS FOR OSTIUM OF LEFT  
ATRIAL APPENDAGE  
Examiner : Not yet assigned  
Group Art Unit : Not yet assigned

New York, New York 10020  
November 19, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information  
Disclosure Statement in the above-identified patent  
application, copies of the documents cited therein and  
Form PTO-1449. This Statement is being submitted:

- ☒ [X] within three months of the application filing  
date;
- ☐ [ ] more than three months from the application  
filing date but before the mailing date of the  
first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97(b)(1),  
submission of this Statement requires no fee. However, if  
for any reason a fee is due, the Director is hereby

authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

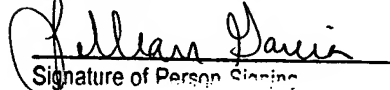


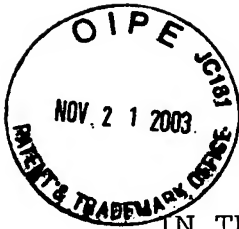
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November 19, 2003

Lillian Garcia

  
Signature of Person Signing



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Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following documents:

U.S. Patent Documents

<u>DOCUMENT No.</u>	<u>DATE</u>	<u>AUTHOR</u>
178,283	6/6/1876	French
1,967,318	7/24/1934	Monahan
3,844,302	10/29/1974	Klein
3,874,388	4/1/ 1975	King et al.
4,007,743	2/15/1977	Blake
4,341,218	7/27/1982	Ü
4,585,000	4/29/1986	Hershenson
4,603,693	8/5/1986	Conta et al.
4,665,906	5/19/1987	Jervis
4,710,192	12/1/1987	Liotta et al.
4,917,089	4/17/1990	Sideris

4,921,484	5/1/1990	Hillstead
5,037,810	8/6/1991	Saliba, Jr.
5,041,090	8/20/1991	Scheglov et al .
5,041,093	8/20/1991	Chu
5,042,707	8/27/1991	Taheri
5,053,009	10/1/1991	Herzberg
5,064,435	11/12/1991	Porter
5,078,736	1/7/1992	Behl
5,108,420	4/28/1992	Marks
5,171,259	12/15/1992	Inoue
5,176,692	1/5/1993	Wilk et al.
5,192,301	3/9/1993	Kamiya et al.
5,256,146	10/26/1993	Ensminger et al.
5,258,042	11/2/1993	Mehta
5,284,488	2/8/1994	Sideris
5,306,234	4/26/1994	Johnson
5,334,217	8/2/1994	Das
5,350,399	9/27/1994	Erlebacher et al.
5,353,784	10/11/1994	Nady-Mohamed
5,370,657	12/6/1994	Irie
5,375,612	12/27/1994	Cottenceau et al.
5,417,699	5/23/1995	Klein et al.
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5,443,454	8/22/1995	Tanabe et al.
5,451,235	9/19/1995	Lock et al.
5,464,408	11/7/1995	Duc
5,469,867	11/28/1995	Schmitt
5,490,856	2/13/1996	Person et al.
5,522,822	6/4/1996	Phelps et al.
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5,527,322	6/18/1996	Klein et al.
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5,591,196	1/7/1997	Marin et al.
5,614,204	3/25/1997	Cochrum
5,634,936	6/3/1997	Linden et al.
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5,637,097	6/10/1997	Yoon
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5,649,953	7/22/1997	Lefebvre
5,662,671	9/2/1997	Barbut et al.
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5,928,260	7/27/1999	Chin et al.
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6,024,754	2/15/2000	Engelson
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6,024,756	2/15/2000	Huebsch et al.
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6,152,144	11/28/2000	Lesh et al.
6,161,543	12/19/2000	Cox et al.

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<u>DOCUMENT No.</u>	<u>DATE</u>	<u>COUNTRY</u>
WO 93/13712	7/22/1993	PCT
WO 97/21402	6/19/1997	PCT
WO 97/28749	8/14/1997	PCT
WO 98/02100	1/22/1998	PCT
WO 98/17187	4/30/1998	PCT
WO 98/23322	6/4/1998	PCT
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WO 99/08607	2/25/1999	PCT
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Dotter et al., "Transluminar Expandable Nitinol Coil Stent Grafting: Preliminary Report", Radiology Vol. 147, No. 1, Pages 259-260, April 1983.

Cragg et al., "Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire", Radiology Vol 147, No. 1, Pages 261-263, April 1983.

Cragg et al., "A New Percutaneous Vena Cava Filter", ALJ, 141:601-604, September 1983.

Sugita et al., "Nonsurgical Implantation of a Vascular Ring Prosthesis Using Thermal Shape Memory Ti/Ni Alloy (Nitinol Wire)", Trans. Am. Soc. Artif. Intern. Organs, Vol. XXXII, 30-34, 1986.

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Rashkind et al., "Nonsurgical Closure of Patent Ductus Arteriosus: Clinical Application of the Rashkind PDA Occluder System", Circulation 75, No. 3, 583-592, 1987.

Lock et al., "Transcatheter Umbrella Closure of Congenital Heart Defects", Circulation, Vol. 75, No. 3, 593-599, 1987.


Wessel et al., "Outpatient Closure of the patent ductus arteriosus", Circulation, Vol 77, No.5, 1068-1071, 1988.

Lock et al., "Transcatheter Closure of Atrial Septal Defects", Circulation, Vol. 79, No. 5, 1091-1099, May 1989.

Copies of the aforementioned documents, which are listed on the accompanying form PTO-1449 (submitted in duplicate) are enclosed herewith. It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

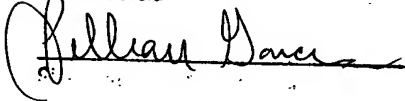
An early and favorable action is respectfully requested.

Respectfully submitted,

  
Michael J. Chasan  
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANTS

## DOCKET NO.

593/002 CIP3 Cont.

## APPLICATION NO.

10/644,388

## APPLICANTS

Robert A. VanTassel et al.

## CONFIRMATION NO.

Not yet assigned

## FILING DATE

August 19, 2003

## GROUP ART UNIT

Not yet assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	178,283	6/6/1876	French	604	109	
	1,967,318	7/24/1934	Monahan	604	106	
	3,844,302	10/29/1974	Klein	135	26	
	3,874,388	4/1/1975	King et al.	606	232	
	4,007,743	2/15/1977	Blake	606	232	
	4,341,218	7/27/1982	Ü	606	195	
	4,585,000	4/29/1986	Hershenson	606	194	
	4,603,693	8/5/1986	Conta et al.	227	179.1	
	4,665,906	5/19/1987	Jervis	606	78	
	4,710,192	12/1/1987	Liotta et al.	606	108	
	4,917,089	4/17/1990	Sideris	606	215	
	4,921,484	5/1/1990	Hillstead	604	104	
	5,037,810	8/6/1991	Saliba, Jr.	514	56	
	5,041,090	8/20/1991	Scheglov et al.	604	101.02	
	5,041,093	8/20/1991	Chu	604	104	
	5,042,707	8/27/1991	Taheri	606	213	
	5,053,009	10/1/1991	Herzberg	604	104	
	5,064,435	11/12/1991	Porter	623	23.7	
	5,078,736	1/7/1992	Behl	623	1	
	5,108,420	4/28/1992	Marks	606	213	
	5,171,259	12/15/1992	Inoue	606	213	
	5,176,692	1/5/1993	Wilk et al.	606	151	
	5,192,301	3/9/1993	Kamiya et al.	606	213	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
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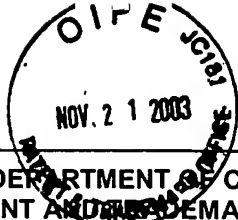
## U.S. PATENT DOCUMENTS

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	5,256,146	10/26/1993	Ensminger et al.	604	104	
	5,258,042	11/2/1993	Mehta	600	36	
	5,284,488	2/8/1994	Sideris	606	213	
	5,306,234	4/26/1994	Johnson	604	49	
	5,334,217	8/2/1994	Das	606	213	
	5,350,399	9/27/1994	Erlebacher et al.	606	213	
	5,353,784	10/11/1994	Nady-Mohamed	600	205	
	5,370,657	12/6/1994	Irie	606	200	
	5,375,612	12/27/1994	Cottenceau et al.	128	899	
	5,417,699	5/23/1995	Klein et al.	606	144	
	5,421,832	6/6/1995	Lefebvre	264	173.11	
	5,425,744	6/20/1995	Fagan et al.	606	213	
	5,433,727	7/18/1995	Sideris	606	213	
	5,443,454	8/22/1995	Tanabe et al.	604	264	
	5,451,235	9/19/1995	Lock et al.	606	213	
	5,464,408	11/7/1995	Duc	606	108	
	5,469,867	11/28/1995	Schmitt	128	898	
	5,490,856	2/13/1996	Person et al.	606	139	
	5,522,822	6/4/1996	Phelps et al.	606	151	
	5,522,836	6/4/1996	Palermo	606	200	
	5,527,322	6/18/1996	Klein et al.	606	144	
	5,527,338	6/18/1996	Purdy	606	200	
	5,591,196	1/7/1997	Marin et al.	606	198	

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,614,204	3/25/1997	Cochrum	424	423	
	5,634,936	6/3/1997	Linden et al.	606	213	
	5,634,942	6/3/1997	Chevillon et al.	623	1	
	5,637,097	6/10/1997	Yoon	604	176	
	5,643,292	7/1/1997	Hart	606	144	
	5,649,953	7/22/1997	Lefebvre	606	200	
	5,662,671	9/2/1997	Barbut et al.	606	170	
	5,669,933	9/23/1997	Simon et al.	600	200	
	5,681,347	10/28/1997	Cathcart et al.	606	200	
	5,690,671	11/25/1997	McGurk et al.	606	200	
	5,693,067	12/2/1997	Purdy	606	200	
	5,695,525	12/9/1997	Mulhauser et al.	623	11	
	5,702,421	12/30/1997	Schneidt	606	213	
	5,709,224	1/20/1998	Behl et al.	128	898	
	5,709,707	1/20/1998	Lock et al.	606	213	
	5,725,552	3/10/1998	Kotula et al.	606	213	
	5,725,568	3/10/1998	Hastings	623	11.21	
	5,733,294	3/31/1998	Forber et al.	606	151	
	5,735,290	4/7/1998	Sterman et al.	128	898	
	5,749,883	5/12/1998	Halpern	606	159	
	5,749,894	5/12/1998	Engelson	606	213	
	5,766,219	6/16/1998	Horton	606	191	
	5,769,816	6/23/1998	Barbut et al.	604	96	

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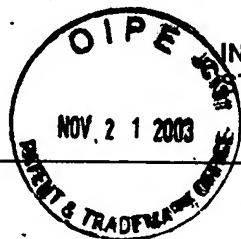
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	5,776,097	7/7/1998	Massoud	604	500	
	5,782,860	7/21/1998	Epstein et al.	606	213	
	5,800,454	9/1/1998	Jacobsen et al.	606	191	
	5,810,874	9/22/1998	Lefebvre	606	200	
	5,823,198	10/20/1998	Jones et al.	128	899	
	5,830,228	11/3/1998	Knapp et al.	606	195	
	5,836,913	11/17/1998	Orth et al.	604	107	
	5,836,968	11/17/1998	Simon et al.	606	200	
	5,846,260	12/8/1998	Maahs	606	200	
	5,846,261	12/8/1998	Kotula et al.	606	213	
	5,849,005	12/15/1998	Garrison et al.	606	1	
	5,851,232	12/22/1998	Lois	623	1	
	5,855,597	1/5/1999	Jayaraman	623	1	
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	5,868,708	2/9/1999	Hart et al.	604	104	
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593/002 CIP3 Cont.APPLICATION NO.  
10/644,388INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTSAPPLICANTS  
Robert A. VanTassel et al.CONFIRMATION NO.  
Not yet assignedFILING DATE  
August 19, 2003GROUP ART UNIT  
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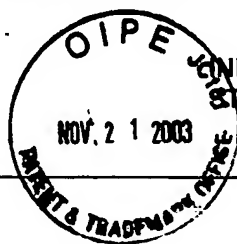
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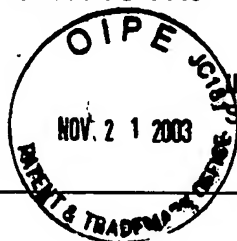
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